

**From:** Vahe Stepanian  
**Sent:** 06 November 2017 18:33  
**To:** FATCA Outreach <[REDACTED]>; Courtney B Fornal <[REDACTED]>  
**Cc:** Thomas Fadian <[REDACTED]>; Liam Osullivan <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>  
**Subject:** RE: US FATCA Outreach - Client Email Contact Required - [REDACTED] (1)

Classification: **For internal use only**

Ok – we requested both FACTA form and an updated W9 from the client.

**From:** Izzie Wong On Behalf Of FATCA Outreach  
**Sent:** Friday, November 03, 2017 11:51 AM  
**To:** Vahe Stepanian <[REDACTED]>; FATCA Outreach <[REDACTED]>; Courtney B Fornal <[REDACTED]>  
**Cc:** Thomas Fadian <[REDACTED]>; Liam Osullivan <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>  
**Subject:** RE: US FATCA Outreach - Client Email Contact Required - [REDACTED] (1)

Hi Vahe,

We are requiring a W-Form for the entity in question. Please find below acceptable and unacceptable versions of said form:

FATCA Forms	Version	Sunset Period Expiry Date
W-9	Dec-14	Current version
W-9	Aug-13	Prior version but still can be accepted
W-8BEN	Jul-17	Current version
W-8BEN	Jan-17	31st January 18
W-8BEN	Feb-14	No longer accepted
W-8BEN-E	Jul-17	Current version
W-8BEN-E	Apr-16	31st January 18
W-8BEN-E	Feb-14	No longer accepted
W-8ECI	Jul-17	Current version
W-8ECI	Feb-14	31st January 18
W-8ECI	Feb-06	No longer accepted
W-8EXP	Jul-17	Current version
W-8EXP	Sep-16	31st January 18
W-8EXP	Apr-14	No longer accepted
W-SIMY	Jun-17	Current version
W-SIMY	Sep-16	31st December 17
W-SIMY	Apr-14	No longer accepted

Please let me know if you require anything further.

Many thanks in advance.



FATCA Outreach Team  
Client Data Services | COO Global Markets Operations

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