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From: Xavier Avila

Sent: 17 April 2018 02:30

To: Jimmy-H Xu <[REDACTED]>; Mathew Negus <[REDACTED]>; Akash Malhotra
<[REDACTED]>

Cc: Joe Aglione <[REDACTED]> Ian Salters <[REDACTED]>; Nina Tona <[REDACTED]>
Pankaj-A Chopra <[REDACTED]>; NCAOTC Derivatives <[REDACTED]>; Martin
Zeman <[REDACTED]>; Alka Gopala <[REDACTED]>

Subject: RE: KYC Breach_SOUTHFINANMD_Southern Financial, LLC_FFT Location [I]

Done, thanks.

Are all the XCCYs generally booked and clients KYCed in DB AG FFT? What about EUR and GBP vanilla and inflation swaps? We might have some of those coming.

Mat/Nina, who can help us with FFT extensions, same NY CMs?

Thanks

From: Jimmy-H Xu <[REDACTED]>

Date: Monday, Apr 16, 2018, 11:56

To: Xavier Avila <[REDACTED]>, Mathew Negus <[REDACTED]>, Akash Malhotra
<[REDACTED]>

Cc: Joe Aglione <[REDACTED]>, Ian Salters <[REDACTED]>, Nina Tona <[REDACTED]>, Pankaj-A
Chopra <[REDACTED]>, NCAOTC Derivatives <[REDACTED]>, Martin Zeman
<[REDACTED]>, Alka Gopala <[REDACTED]>

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Classification: **For internal use only**

Approved as one-off, but let's get the KYC done for FFT please

Thanks

Kind regards

Jimmy



Jimmy-H Xu

Cross Currency Swap Trading

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