
From: Xavier Avila [REDACTED]
Sent: 4/4/2018 5:18:47 PM
To: Mathew Negus [REDACTED]; Zack Bunimovich [REDACTED]
CC: Martin Zeman [REDACTED]; Anthony Lentini [REDACTED]; Daniel-E Kaiser [REDACTED]
Subject: RE: Southern Financial RDC Alerts [I]

Nina told me it's me that I need to approve this instead of Martin, so I approve.

Matt, let's discuss this client and RDC Alerts, this client has gone through a tremendous amount of scrutiny at WM KYC level and management decision is that we are engaging the client.

Thanks
Xavi

From: Mathew Negus
Sent: Wednesday, April 04, 2018 1:57 PM
To: Zack Bunimovich [REDACTED]; Xavier Avila [REDACTED]
Cc: Martin Zeman [REDACTED]; Anthony Lentini [REDACTED]
Subject: RE: Southern Financial RDC Alerts [I]

Yes

From: Martin Zeman
Sent: Tuesday, April 03, 2018 1:01 PM
To: Zack Bunimovich [REDACTED]; Mathew Negus [REDACTED]; Xavier Avila [REDACTED]
Cc: Anthony Lentini [REDACTED]
Subject: RE: Southern Financial RDC Alerts [I]

Acknowledged. Please proceed with onboarding.

Mat – please confirm the same to Zack.

Martin

From: Zack Bunimovich
Sent: Tuesday, April 03, 2018 12:24 PM
To: Mathew Negus [REDACTED]; Xavier Avila [REDACTED]
Cc: Martin Zeman [REDACTED]; Anthony Lentini [REDACTED]
Subject: Southern Financial RDC Alerts [I]

Mat/Xavier,

We received a few RDC alerts for Jeffery Epstein, sole shareholder of Southern Financial. Please see them attached.

Can you acknowledge these hits and confirm that you would like to proceed with onboarding the client?

Thanks,