

---

**From:** Nina Tona [REDACTED]  
**Sent:** 3/13/2018 11:41:22 AM  
**To:** Zack Bunimovich [REDACTED]; Martin Zeman [REDACTED]; Xavier Avila [REDACTED]  
**CC:** Rita Shteynberg [REDACTED]; Mathew Negus [REDACTED]; Jitan Patel [REDACTED]; Alastair Mackinlay [REDACTED]; Davide-A Sferrazza [REDACTED]; Anthony Lentini [REDACTED]  
**Subject:** RE: SF [I] [C]  
**Attachments:** Southern Financial Operating Agreement.pdf; Southern Trust Company Inc. Financials June 2017.pdf; Southern Financial LLC Financials June 2017.pdf

Classification: **Confidential**

Zack,

I believe some of the below was already provided back in Feb. Please review below and attached to make sure they are sufficient and we'll get back on the additional.

- Ownership - Broken down to 10% (Org chart with %'s of ownership or confirmation from Senior Client Rep explaining the ownership breakdown)
- Legal Reps – Identify and Verify
- UBO's - Identify and Verify
- Source of Funds – Identification & Verification (Annual Report)
- Source of Wealth – Identify and Verify
- Client Contact for Patriot Act disclosure email
- Formation Document (Cert of Incorporation, etc)
- Nature of Business Doc
  
- **Organization Chart**
  - o Per attachment "Southern Financial Operating Agreement" (pages 1, 16)
  - Southern Trust Company Inc. is the sole member of Southern Financial LLC
  - Jeffrey Epstein is the sole shareholder of Southern Trust Company Inc.
  
- **UBOs (Owners) that own greater than 10%**
  - o Jeffrey Epstein is the sole UBO (see "Organization Chart" above)
  
- **The source of funds for the UBOs (owners) that are greater than 10%**
  - o Jeffrey Epstein began his financial career in 1976 as an options trader at Bear Stearns and became a partner in 1980. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in net worth. In 1996, Epstein changed the name of his firm to The Financial Trust Company and based it on the island of St. Thomas in the US Virgin Islands, which merged into Southern Financial LLC. All of his clients were anonymous except for the very wealthy businessman Leslie Wexner. His wealth has come from his days at Bear Stearns and his financial management firms.
  
- **List of Legal representatives**
  - o Per attachment "Southern Financial Operating Agreement" (pages 16)
  - Jeffrey Epstein, Darren K. Indyke, Richard Kahn
  
- **Annual report**