
From: Nina Tona [REDACTED]
Sent: 1/18/2018 12:14:05 PM
To: Davide-A Sferrazza [REDACTED]; Liam Osullivan [REDACTED]; Xavier Avila [REDACTED]; Martin Zeman [REDACTED]
CC: Alastair Mackinlay [REDACTED]; Joshua Shoshan [REDACTED]
Subject: RE: Southern Financial LLC [I] [C]

Classification: **Confidential**

Hi Davide,

Did you have a chance to look into the below?

Thank you

Nina Tona

From: Davide-A Sferrazza
Sent: Tuesday, January 16, 2018 6:35 PM
To: Nina Tona [REDACTED]; Liam Osullivan [REDACTED]; Xavier Avila [REDACTED]; Martin Zeman [REDACTED]
Cc: Alastair Mackinlay <[REDACTED]>; Joshua Shoshan <[REDACTED]>
Subject: RE: Southern Financial LLC [I] [C]

Classification: **Confidential**

Removed Mat from chain –

I have some of this information from the KYC/account docs we have on file, but I will work with Stew Oldfield's team tomorrow to get the rest of this info and send all together.

Thanks,
Davide

From: Nina Tona
Sent: Tuesday, January 16, 2018 4:07 PM
To: Liam Osullivan <[REDACTED]>; Xavier Avila <[REDACTED]>; Martin Zeman [REDACTED]
Cc: Alastair Mackinlay <[REDACTED]>; Mathew Negus <[REDACTED]>; Joshua Shoshan [REDACTED]; Davide-A Sferrazza <[REDACTED]>
Subject: RE: Southern Financial LLC [I] [C]

Classification: **Confidential**

Hi Martin and Liam,

Please also advise on the below.

- Organization Chart
- UBOs (Owners) that own greater than 10%
- The source of funds for the UBOs (owners) that are greater than 10%
- List of Legal representatives
- Annual report