

Southern Financial LLC is one of the accounts GM wanted to off-board thus we are working on retaining the client. In order to do so, kindly provide the below info.

PENDING W/BUSINESS

1. Provide RR (Sales Rep) AND RP (Desk Head)
2. Provide Certification of Incorporation
3. ACO Attestation – Xavier Avila
4. BSF Form – Please work with the client to have the attached filled out.
5. MD sales approval – Xavi please approve the below

Hi Xavi,

Kindly provide MD sales approval for the NCA of Southern Financial LLC for the below products/entities.

Cash Fixed Income DVP/RVP	Cash Equities DVP/RVP	OTC Derivatives (Rates)	FX options	FX Cash	Commodities	GED	Convertible Bonds	# Products onboarded
DB AG (LDN)	DBSI	DB AG (LDN)	DB AG (LDN)	DB AG (LDN)	DB AG (LDN)	DBSI	DBSI & DB AG (LDN)	8

Thanks

Kind regards,



Nina Tona

Associate
Business Control Manager, Key Client Partners – Americas
Deutsche Bank Wealth Management

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