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**From:** Davide-A Sferrazza [REDACTED]  
**Sent:** 2/26/2018 10:02:13 PM  
**To:** Nina Tona [REDACTED]; Xavier Avila [REDACTED]; Alastair Mackinlay [REDACTED]  
**CC:** Martin Zeman [REDACTED]; Joshua Shoshan [REDACTED]; Billy Obregon [REDACTED]  
**Subject:** RE: GM KCP Bible - Action Plan - Update II [I]  
**Attachments:** Copy of KCP Americas Main GM KCP Client List.xlsx

Classification: **For internal use only**

Team,

GTT and LEI status updated in columns H & I, respectively.

Some interesting situations came up as I was looking in the GTT tool, so will have to explore those cases a bit more...

Thanks,  
Davide

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**From:** Nina Tona  
**Sent:** Friday, February 23, 2018 3:34 PM  
**To:** Xavier Avila <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Alastair Mackinlay <[REDACTED]>  
**Cc:** Martin Zeman <[REDACTED]>; Joshua Shoshan <[REDACTED]>; Billy Obregon <[REDACTED]>  
**Subject:** RE: GM KCP Bible - Action Plan - Update II [I]

Classification: **For internal use only**

Hi All,

Happy Friday!

Just wanted to provide an update on the progress.

**Completed – Week of Feb 20th**

- Americas Tab - products/entities added
- Live Trades – Spoke with Keith B – I gave him our list, he will advise which clients have live trades and the product
- ISDA/CSA – Spoke with Sebastian – I gave his team our list – and they are checking if our clients have ISDA/CSAs on file and sending us the agreement. They have already send some, they should go through the whole list by early next week.

**Completed – Week of Feb 12th**

- dbCAR IDs confirmed
- Added KYC Review Date – we need to review this and start KYC reviews
- Latam Tab – products/entities added
- Alex Brown Tab - products/entities added
- Revenues for P/S 2017 added

**Pending**

- Good to Trade – Davide
- Revenues – GM 2017/2018 and P/S 2018
- GM or Umbrella Account – Davide and Nina