

Happy to discuss tomorrow so Nina can submit this list.

Thank you,
Davide

From: Nina Tona

Sent: Tuesday, March 13, 2018 2:44 PM

To: Xavier Avila <[REDACTED]>

Cc: Joshua Shoshan <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Alastair Mackinlay <[REDACTED]>; Martin Zeman <[REDACTED]>; Billy Obregon <[REDACTED]>; Ian Salters <[REDACTED]>; Tazia Smith <[REDACTED]>

Subject: RE: GM KCP Bible - Action Plan - Update I KYC Priority List [I]

Classification: For internal use only

Please see updated list.

Priority Rank	Team	WM Client / Account Name	dbcar ID	New KYC	KYC Review Date	Responsible - For Info/Docs	Responsible - For Contact/CM	Case Manager	KYC Target Due Date	Live Trades	
1	KCP LATAM	O3 Capital Global Fund	[REDACTED]	Yes	N/A	Xavi A	Nina T	Rita Shteynberg	ASAP	Yes	3/2 ne pro 2 if pe
2	KCP US	Southern Financial LLC	[REDACTED]	No	12/15/2015	Martin Z	Nina T	Zack Bunimovich	ASAP	Yes	3/2 can ask ite we be, Fel
3	KCP LATAM	Tonelle Limited	[REDACTED]	No	6/5/2015	Xavi A	Nina T	Rita Shteynberg	ASAP	Yes	
4	KCP US	Anschutz Investments Co	[REDACTED]	Yes	N/A	Martin Z	Nina T	Aisha Khan	ASAP	Yes	Lis rec pro ser Ma
5	KCP LATAM	Inversiones Alabama Limitada	N/A	Yes	N/A	Billy O	Nina T	Rita Shteynberg	ASAP	No	Wa inf Bill
6	KCP US	George B Kaiser	[REDACTED]		N/A	Josh S	Nina T		ASAP	Yes	
7	KCP US	George Kaiser Family Foundation	[REDACTED]		9/2/2016	Josh S	Nina T		ASAP	Yes	
8	KCP LATAM	Blue Media Investments Inc	[REDACTED]	No	8/29/2017	Xavi A	Nina T	Rita Shteynberg	End April	No	No res fro Ma