

- **W-9**
- **Organization Chart**
 - Per attachment "Southern Financial Operating Agreement" (pages 1, 16)
 - Southern Trust Company Inc. is the sole member of Southern Financial LLC
 - Jeffrey Epstein is the sole shareholder of Southern Trust Company Inc.
- **UBOs (Owners) that own greater than 10%**
 - Jeffrey Epstein is the sole UBO (see "Organization Chart" above)
- **The source of funds for the UBOs (owners) that are greater than 10%**
 - Jeffery Epstein began his financial career in the mid 70s as an options trader at Bear Stearns and became a partner in early 80s. Early thereafter, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in net worth. In the mid 90s, Epstein changed the name of his firm to The Financial Trust Company and based it on the island of St. Thomas in the US Virgin Islands, which merged into Southern Financial LLC. His wealth has come from his days at Bear Stearns and his financial management firms.
- **List of Legal representatives**
 - Per attachment "Southern Financial Operating Agreement" (pages 16)
 - Jeffrey Epstein, Darren K. Indyke, Richard Kahn
- **Annual report**
 - See attachments "Southern Financial LLC Financials June 2017" and "Southern Trust Company Inc. Financials June 2017" for latest financial statements
- **Source of Wealth**
 - As said in above paragraph about the source of funds of any UBO greater than 10%, Jeffery Epstein's wealth has come from his long career at Bear Stearns and his financial management firms.
- **Client Contact**
 - Richard Kahn
 - [REDACTED]
 - [REDACTED]
- **Formation Document**
 - Attached LLC Documents include the Articles of Organization

From: Nina Tona
Sent: Tuesday, March 27, 2018 6:02 PM
To: Zack Bunimovich <[REDACTED]>; Xavier Avila <[REDACTED]>; Martin Zeman <[REDACTED]>; Rita Shteynberg <[REDACTED]>; Jitan Patel <[REDACTED]>
Cc: Liam Osullivan <[REDACTED]>; Mathew Negus <[REDACTED]>; Alastair Mackinlay <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Anthony Lentini <[REDACTED]>
Subject: RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C] [I]

Classification: For internal use only

He did reach out, not sure if they have responded.

Thank you

Nina Tona