

From: Davide-A Sferrazza [REDACTED]
Sent: 3/13/2018 6:36:44 PM
To: Nina Tona [REDACTED]; Xavier Avila [REDACTED]
CC: Joshua Shoshan [REDACTED]; Alastair Mackinlay [REDACTED]; Martin Zeman [REDACTED]; Billy Obregon [REDACTED]; Ian Salters [REDACTED]; Tazia Smith [REDACTED]
Subject: RE: GM KCP Bible - Action Plan - Update I KYC Priority List [I]

Classification: **For internal use only**

Billy/Martin,

I spoke with Josh to fill in the **KYC Target Due Date** and **Live Trades** columns for Somerston.

We will also need to fill that info in for the following:

Colina Insurance Limited	Rodrigo G
Armory Fund LDC	Billy O
Monex Inversiones SA	Billy O
Amzak Capital Management LLC	Martin Z
MSD Capital L.P.	Martin Z
Centaurus Capital LP	Martin Z

Happy to discuss tomorrow so Nina can submit this list.

Thank you,
 Davide

From: Nina Tona
Sent: Tuesday, March 13, 2018 2:44 PM
To: Xavier Avila [REDACTED]
Cc: Joshua Shoshan [REDACTED]; Davide-A Sferrazza [REDACTED]; Alastair Mackinlay [REDACTED]; Martin Zeman [REDACTED]; Billy Obregon [REDACTED]; Ian Salters [REDACTED]; Tazia Smith [REDACTED]
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Please see updated list.

Priority Rank	Team	WM Client / Account Name	dbcar ID	New KYC	KYC Review Date	Responsible - For Info/Docs	Responsible - For Contact/CM	Case Manager	KYC Target Due Date	Live Trades	
1	KCP LATAM	O3 Capital Global Fund	[REDACTED]	Yes	N/A	Xavi A	Nina T	Rita Shteynberg	ASAP	Yes	3/13/2018
2	KCP US	Southern Financial LLC	[REDACTED]	No	3/15/2018	Martin Z	Nina T	Zack Bunimovich	ASAP	Yes	3/13/2018