



Bradley Gillin  
Vice President  
Deutsche Bank Wealth Management  
345 Park Avenue, 24th Fl  
New York, NY 10154  
Tel [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

**From:** Vijay-A Sawant  
**Sent:** Thursday, August 23, 2018 11:52 AM  
**To:** Bradley Gillin [REDACTED]  
**Cc:** Richard Iaross [REDACTED]; Alka Babu [REDACTED]; Mayur Rathod [REDACTED]  
**Subject:** RE: KYC Remediation update [I]  
**Importance:** High

Hi Brad,

Following are the ongoing **high risk** accounts which are overdue for remediation in ascending order, their statuses below –

- AMAAN HOLDINGS LLC – DueDate 06/29/2018 – Pending SoS for SAMRA Holdings Ltd (refer attached 1<sup>st</sup> email)
- HATTAN HOLDINGS LLC – DueDate 06/29/2018 – Pending SoS for SAMRA Holdings Ltd (refer attached 1<sup>st</sup> email)
- MARSIA HOLDINGS LLC – DueDate 06/29/2018 – Pending SoS for SAMRA Holdings Ltd (refer attached 1<sup>st</sup> email)
- JCP IV QP, LLC – DueDate 06/30/2018 – Docs received yesterday, pending review. Will advise as soon as that is done.
- [REDACTED] – DueDate 07/27/2018 – Docs required as per attached 2<sup>nd</sup> email
- Cerberus Executive, LLC – DueDate 07/31/2018 – Awaiting docs as per attached 3<sup>rd</sup> email. I am aware that you have followed up with client on this, so thanks for that.
- Aircraft Acquisition Corporation – DueDate 08/03/2018 – Awaiting docs as per 4<sup>th</sup> email attached.
- Sillerman Investment Company III, LLC – DueDate 08/03/2018 – Awaiting docs as per 4<sup>th</sup> email attached.
- Tomorrow Foundation – DueDate 08/03/2018 – Pending CDD form as per attached 5<sup>th</sup> email attached.
- Elderberry X, LLC – DueDate 08/03/2018 – Pending CDD form as per attached 5<sup>th</sup> email attached.
- Sillerman Investment Corporation – DueDate 08/03/2018 – Awaiting docs as per 4<sup>th</sup> email attached.
- Epstein, Jeffrey E. – DueDate 08/08/2018 – Awaiting docs as per attached 5<sup>th</sup> email attached.
- Southern Financial LLC - DueDate 08/08/2018 – Awaiting docs as per attached 8<sup>th</sup> email attached.
- Southern Trust Company, Inc. - DueDate 08/08/2018 – Awaiting docs as per attached 5<sup>th</sup> email attached.
- J. Epstein Virgin Islands Foundation, Inc - DueDate 08/08/2018 – Docs & confirmation required as per attached 10<sup>th</sup> email
- GoldenTree Asset Management UK LLP- DueDate 08/09/2018 – Docs & confirmation required as per attached 11<sup>th</sup> email
- Gratitude America Ltd – DueDate 08/16/2018– Awaiting docs as per attached 6<sup>th</sup> email attached.
- Neptune, LLC – DueDate 08/16/2018 – Docs required as per attached 2<sup>nd</sup> email
- NES, LLC – DueDate 08/16/2018 – Docs & confirmation required as per attached 9<sup>th</sup> email.
- LSJE, LLC – DueDate 08/18/2018 – Awaiting docs as per attached 8<sup>th</sup> email attached.
- Hyperion Air, LLC – DueDate 08/18/2018 – Docs & confirmation required as per attached 10<sup>th</sup> email
- JEGE, Inc – DueDate 08/18/2018 – Awaiting confirmation & docs as per attached 7<sup>th</sup> email