
From: Vijay-A Sawant [REDACTED]
Sent: 7/11/2018 9:10:16 AM
To: Bradley Gillin [REDACTED]
Subject: Super Ultra Critical Items that require your immediate attention!!
Attachments: RE_2018 Periodic Review of acct GoldenTree Partner MF1 SPV LLC (GCIS#561168) under GOLDENTREE RELAT.eml; RE_2018 Periodic Review of HIGH RISK acct GoldenTree Asset Management Transportation LLC (GCIS#4102.eml); 2018 Periodic Review of acct Cerberus Capital Management, L.P. with GCIS#456209 under the CERBERUS C.eml; RE_2018 Periodic Review for acct Techview Investments Ltd (GCIS#458737) under the TECHVIEW INVESTME.eml; FW_ Info Required on Rejected KYC Case#01882073.eml; FW_2018 Periodic Review of acct Cerberus Executive, LLC with GCIS#547540 under the CERBERUS CAPITA.eml; RE_ Assistance Required in solving rejection points on KYC Case#01946825 [C].eml; image001.gif

Hi Brad,

As promised, below is the list of high risk overdue accounts that require your inputs on priority –

- 1) **JCP IV QP, LLC** – DueDate 6/30/2018, KYC Case#01825141 in rejected status. Pending docs from client (Hedy) as discussed yesterday. Note this case also includes other high risk acct holders – Jefferies Capital Partners IV, LP, JCP IV, LLC, Jefferies Employee Partners IV, LLC & Jefferies Capital Partners, LLC, so resolution of this case will complete this 2017 Periodic Review for all these accounts.
- 2) **GoldenTree Partner MF1 SPV LLC** – DueDate 6/30/2018, KYC Case#01955190. Awaiting your clarification on RP's, refer attached email.
- 3) **GoldenTree Asset Management Transportation, LLC** – DueDate 6/30/2018, KYC Case#01960714. Awaiting your clarification on RP's, refer attached email (2nd attachment)
- 4) **Cerberus Capital Management, L.P.** – DueDate 6/30/2018, No case launched yet. Awaiting material changes response and RP's identified, refer attached email (3rd attachment)
- 5) **Techview Investments Ltd.** – DueDate 7/31/2018, Rejected KYC Case#01883718. Help required in resolving rejection points, refer attached email (4th attachment)
- 6) **Black, Leon D.** – DueDate 7/31/2018, Rejected KYC Case#01882073. Feedback required on adverse media encountered, refer attached email (5th attachment)
- 7) **Cerberus Executive, LLC** – DueDate 7/31/2018. I am awaiting internal feedback on this regarding Loan Guarantors & whether they need to be added as RP's to this case or not. Nothing required from you at the moment. You can refer attached email from Morgan for reference (6th attachment)
- 8) **Prytanee, LLC** – dueDate – 8/31/2018. Assistance required in resolving rejection points, refer last email (7th attachment)

Just to inform you, we have 56 high risk accounts which are due in July-August period so I will be sending you initial emails in the coming days/weeks.

Let me know if you have any queries, thanks.

Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited – Deutsche Bank Group
Wealth Management

