

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):

The source of wealth for this entity derives from Goldentree Asset Management LP. Please revert to that source of wealth for more details.

Estimated gross receipts p.a.(\$):	1,000,000.00
Estimated net profit p.a. (\$):	1,000,000.00
Estimated investable assets (\$):	\$2 MM - 5 MM
Potential Amount to be invested with PWM (\$):	1,000,000.00

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**3C. Legal Entity Details** (For all Legal Entities)

Legal Entity Name:	GoldenTree Asset Management, LP - 00000408981		
Type of Entity:	<input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input type="checkbox"/> Company <input type="checkbox"/> Estate <input checked="" type="checkbox"/> Partnership	Purpose of Entity:	<input type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input checked="" type="checkbox"/> Commercial
Type of Entity Other (specify):	Investment manager and management co.	Purpose of Entity Other (specify):	
Country of incorporation/registration:	USA	Date of incorporation / registration:	4/25/2000
Volcker Status:	Identified on Customer Profile	Volcker Flag:	Loan Only
Address (city, street, post code):	300 Park Avenue, 21st Floor New York NY 10022 United States	U.S. TIN/EIN:	13-4118850

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:

Per the attached SEC form ADV, Steven Tannenbaum is the only individual who owns more than 25% of Goldentree Asset Management LP. GTAM LP is an existing client of the bank. See KYC Approval Case # 00354007 for GoldenTree Asset Management, LP

The General Partner of the Limited Partnership is GoldenTree Asset Management LLC.

The Limited Partner with the majority ownership is Steven Tananbaum. The other limited partners are all under 10% owners: Barry Ritholz, George Travers, William Christian, Robert Matza, Joseph Naggar Lee Spencer Kruter, Marie and Pierre De Chillaz and Neil Scanlon. See page 217 in attached form ADV as support.

The signatories are Neil Scanlon, Barry Raholtz, William Christian, Thomas Gengler and Joshua Feiler

Please note: Leon Wagner is no longer an LP for this entity. Attached is form ADV to support this change. Steven Tananbaum is the majority owner per attached ADV (page 217).

Please indicate how ownership of the legal entity is reflected:

 Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons):

Yes No