
From: Vijay-A Sawant [REDACTED]
Sent: 6/18/2018 9:10:13 AM
To: Bradley Gillin [REDACTED]
CC: Daphne Cales [REDACTED]; Rodney Macelus [REDACTED]
Subject: RE: 2018 Periodic Review of HIGH RISK acct GoldenTree Asset Management Transportation LLC (GCIS#410265) under the GOLDENTREE RELATIONSHIP [I]
Attachments: Goldentree Asset Mgmt Transportation LLC Checklist.xlsx; image001.gif

Hello Brad,

Many thanks for the partnership agreement and the ownership details. I have now completed the checklist, refer attached.

Based on this, the following RP's will be added when KYC case is launched –

Acct holder – **GoldenTree Asset Management Transportation LLC (GTAMT LLC)**
Manager & Sole Shareholder – **GoldenTree Asset Management LP (GTAM LP)**
President, Initial Capital Contributor & Authorized Signatory@GTAMT LLC & Limited Partner@GTAM LP & Senior Managing Member@GTAM LLC – **Steven A. Tananbaum (40.68%)**
COO, Initial Capital Contributor & Authorized Signatory@GTAMT LLC – **William (Bill) Christian (0.96%)**
General Counsel & Authorized Signatory@GTAMT LLC – **Barry Ritholz**
Initial Capital Contributor@GTAMT LLC & GTAM LP & Managing Member@GTAM LLC – **Leon Wagner (17.67% each)**
Initial Capital Contributor & Authorized Signatory@GTAMT LLC – **Robert (Bob) Matza (3.96%)**
Authorized Signatory@GTAMT LLC – **Joshua P. Feiler**
General Partner@GTAM LP – **GoldenTree Asset Management LLC (GTAM LLC)**

Kindly advise in case I have missed anyone. I have included individuals who own more than 10% and/or because of their roles in the respective entities.

Please note during initial review, I may have made a faux pas and incorrectly identified Harry Wagner's ID as Leon Wagner's & had completed the checklist accordingly. The attached checklist rectifies this error.

In light of this, request you to kindly provide ID doc for Leon Wagner, as I am unable to find it in the repositories or the attachments, even from linked accounts.

Kindly advise if there are any material changes to this account? If yes, we will require updated ID docs for Steven Tananbaum, as existing docs have expired.

I will proceed to launch the KYC case, run searches, etc upon your confirmation of the above, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management

Tel. [REDACTED]
Mobile + [REDACTED]