

[REDACTED]

Passion to Perform

From: Anshu Parashar
Sent: Friday, October 02, 2015 9:06 AM
To: Jj Litchford; PWM BIS-Research; Akshay Davera
Subject: RE: URGENT- Mort, Inc. Research [I]

Hi Jj,

Below cases were duplicate, so we have rejected them. Will we send all the three researches to you ASAP.

Thanks & Regards,



Anshu Parashar
DBOI Global Services Private Limited
PWM US Operations
[REDACTED]

Passion to Perform |

From: Jj Litchford
Sent: Friday, October 02, 2015 3:35 AM
To: PWM BIS-Research
Subject: URGENT- Mort, Inc. Research [I]
Importance: High

Classification: **For internal use only**

Hi--

The following cases on the process portal have been rejected: 10348083, 082, 081 immediately after they were submitted.

These are urgently needed for a KYC that is already in "rush" status. I believe this likely happened in error, and AML/ Compliance has already said we need the research. Please look into this and revert back immediately.

Thanks,
JJ



JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
[REDACTED]