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Southern Financial LLC

Customer Record Type	Client	Customer Owner	Paul Morris
Customer Name	Southern Financial LLC	German PO	
Relationship	SOUTHERN FINANCIAL RELATIONSHIP	Created By	Komal Shahani, 5/28/2013 10:27 AM
* Type	Organization	Last Modified By	Relational Junction, 9/28/2015 2:13 PM
SSN		GCIS Customer Number	[REDACTED]
Federal Tax ID #	[REDACTED]	Bank	1
Referral Source		* Moderate Risk	<input type="checkbox"/>
Name of Referral Source		* High Risk	<input checked="" type="checkbox"/>
Third Party Referral Source		Risk Reason	KYC1195100
			Risk Rating: .521 C 02 KYC 1082293
Status	Active	Risk Type	
Client Since Date	8/26/2013	Volcker Covered Fund?	
Former Client?	<input type="checkbox"/>	Will Appointment	<input type="checkbox"/>
Portfolio Management Team		Gross Estate	
Covenant Contact Preference		* Booking Location	
		Switzerland	<input type="checkbox"/>
		Form K	
Custom Links	View DB Accounts and Revenue Request Customer Deletion Request Primary Officer Change Request New Relationship Launch NAO Wizard Launch Due Diligence	Client/Assets At Risk Log Client Complaint Import Documents Export Documents View Documents From Repository	Create Annual Client Update Request ACU Contact Exemptior View Exemption Guidelines Generate Key Relationship Report Launch ALAT Process View Loan Covenant Details

Classification

Potential New Revenue	Unconfirmed Investor Status	
Client Satisfaction	Investor Status Definitions	http://pwm.intranet.db.com/new/filehandler.php?getFile=us/docs/InvestorStatusAddendum__10_24_07.px
Industry	* DB is Trustee/Co-Trustee	<input type="checkbox"/>