From: Jj Litchford [redacted]
Sent: 10/1/2015 5:42:07 PM
To: Armen Brash [redacted]; Vaishali-P Mehta [redacted]
CC: PWMUS AMLKYC [redacted]
Subject: RE: Account Re-opening [I]

Classification: **For internal use only**

The account was closed due to zero balance (it was funded on 2/6/2015 and then de-funded on 2/23/2015).

I have submitted for the research since the last KYC (2/1/2014) and put it in with high priority. I will let you know as soon as we get results (We expect some negative media possibly).

thanks for the help, appreciate the quick reply.

JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
[redacted]
Email [redacted]

Passion to Perform

From: Armen Brash
Sent: Thursday, October 01, 2015 5:39 PM
To: Jj Litchford; Vaishali-P Mehta
Cc: PWMUS AMLKYC
Subject: RE: Account Re-opening [I]

Classification: **For internal use only**

JJ

You'll need to order updated research and complete a KYC update. Essentially, you have to treat it the way you'd treat a brand new client.

Why did the account close? Was it ever funded? Was it closed due to zero balance?

Please note that this is a high risk client and the KYC will need to be reviewed by AML Compliance; I've cc'd them so they are aware of the rush.

Best,
Armen