

Classification: For internal use only

Hi Rachael, Pradeepta,

We do a sampling of a majority of our PCS and PB Alerts, and we review about 1/4-1/5 of PB cases generated. We have PB Alerts, Cases, and PCS Alerts.

Do you want a spreadsheet with all Alerts/cases generated (some of which could be auto closed)? or just the ones sampled.

This is also my last week at DB so please refer all further requests to Ying and Cherie (she will be stepping into Clarissa's role)

Kind regards,



Yang Chen
AVP | Wealth Management AML

Deutsche Bank Securities Inc.
[Redacted]

Passion to Perform

----- Forwarded by Yang-A Chen/db/dbcom on 09/08/2014 08:45 AM -----

From: Wayne Salit/db/dbcom
To: Rachael Natale/db/[Redacted], Pradeepta Roy/db/[Redacted]
Cc: Yang-A Chen/db/[Redacted], Ying-A Wang/db/[Redacted]
Date: 09/04/2014 04:59 PM
Subject: Fw: 2014 AML KYC Audit [1]

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Hello Rachael -

Thanks for the notice, but both Ying Wang and Yang Chen are out of the office until Monday, September 8.

One or both of them will get back to you on Monday.

Kind regards,
Wayne Salit
