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**From:** Vijay-A Sawant [REDACTED]  
**Sent:** 11/1/2018 9:37:12 AM  
**To:** Richard Iarossi [REDACTED]; Bradley Gillin [REDACTED]  
**Subject:** FW: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

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**From:** Stewart Oldfield  
**Sent:** Wednesday, October 31, 2018 6:46 PM  
**To:** Mayur Rathod <[REDACTED]>  
**Cc:** Richard Iarossi <[REDACTED]>; Bradley Gillin <[REDACTED]>; Vinita Advani <[REDACTED]>; Vijay-A Sawant <[REDACTED]>  
**Subject:** Re: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Pretty sure we have already provided some of these. I still need to talk to the client about the remaining items. Have been away for 3 days in sales training with Brad, hope to call client today or tmw.

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management

[REDACTED]  
[REDACTED]  
[REDACTED]  
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Oct 31, 2018, at 9:13 AM, Mayur Rathod <[REDACTED]> wrote:

Classification: **For internal use only**

Hi Rich,

Hope all is well.

Following up on the below email sent by Vijay regarding pending rejection items.

Do we have any update on the same.

Thanks & Regards

<image001.gif>

**Mayur Rathod**  
KYC Case Representative  
Deutsche CIB Centre Private Limited  
Wealth Management  
[REDACTED]