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**From:** Stewart Oldfield  
**Sent:** Thursday, October 25, 2018 11:32 PM  
**To:** Vijay-A Sawant <[REDACTED]>  
**Cc:** Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>; Alka Babu <[REDACTED]>; Mayur Rathod <[REDACTED]>; Vinita Advani <[REDACTED]>  
**Subject:** RE: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP

We've responded to many of these already. Please list only outstanding items in a single email.

Thanks

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**From:** Vijay-A Sawant  
**Sent:** Thursday, October 25, 2018 10:50 AM  
**To:** Stewart Oldfield <[REDACTED]>  
**Cc:** Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>; Alka Babu <[REDACTED]>; Mayur Rathod <[REDACTED]>; Vinita Advani <[REDACTED]>  
**Subject:** Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP  
**Importance:** High

Hi Stewart,

As discussed, we are awaiting responses to attached emails. For Plan D, you have mentioned that you are reaching out to the client, so just awaiting feedback on that.

Please note the resolution of above cases will lead to completion of ALL accounts from this relationship for 2018.

For Jockey Club, I will respond in a separate email.

Let us know if any queries, thanks.

Kind Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management