
From: Richard Iarossi [REDACTED]
Sent: 10/18/2018 5:12:06 PM
To: Bradley Gillin [REDACTED]
Subject: RE: Epstein - negative media [I]
Attachments: Gerber Notice of Dismissal.pdf; Kim Hart Memo on Jeffrey Epstein.pdf

Classification: **For internal use only**

Hi Kim,

AML Compliance has requested that we get your approval to move forward on all Southern Financial Relationship KYCs due to this latest lawsuit Stewart has pointed out below. Per the attachment, this lawsuit has been dismissed on October 5th, 2018. As this occurred after your initial approval on October 2nd, we would ask that you please confirm again we are comfortable moving forward with this client.

Thank You,
Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Bradley Gillin
Sent: Wednesday, October 10, 2018 2:06 PM
To: Richard Iarossi <[REDACTED]>
Subject: FW: Epstein - negative media [I]

Classification: **For internal use only**

From: Kimberly Hart
Sent: Tuesday, October 09, 2018 12:15 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>
Subject: RE: Epstein - negative media [I]

Classification: **For internal use only**

Was this returned as an RDC alert – or part of the regular Lexis/Nexis due diligence?



Kimberly Hart
Managing Director | Divisional Control Officer
Wealth Management Americas