
From: Daphne Cales ([REDACTED])
Sent: 9/11/2018 5:10:56 PM
To: Vijay-A Sawant ([REDACTED]); Bradley Gillin ([REDACTED])
CC: Vinita Advani ([REDACTED])
Subject: RE: Rejection Points KYC # - [REDACTED] (1)

Classification: **For internal use only**

Vijay,

Can you please set some time for all of us to discuss tomorrow? I received some clarity from the ABR team. Thank you.

Kind regards,
Daphne Cales



Daphne Cales
Director | Banking Services & Branch Manager

Deutsche Bank Trust Company Americas
WM Americas

Passion to Perform

From: Vijay-A Sawant
Sent: Tuesday, September 11, 2018 9:29 AM
To: Daphne Cales <[REDACTED]>
Cc: Vinita Advani <[REDACTED]>
Subject: FW: Rejection Points KYC # - [REDACTED] (1)
Importance: High

Hi Daphne,

As discussed on call today, following are case rejections we have received from KG. Point 1 identifies the donor as UBO and this info can be verified from Pg 53 of the US Addendum, relevant paragraph provided below for reference. Also attached in this email are the Form 990's for year's 2014, 2015 & 2016. You can see that the \$10mn donation was received in 2015. Previously approved KYC Case [REDACTED] was approved in Aug 2017 where this donor was not identified. Why is this an EDR now? Please advise how to proceed with this?

Case #: [REDACTED]

Relationship: SOUTHERN FINANCIAL RELATIONSHIP Customer Name: **Gratitude America Ltd** Customer Number: [REDACTED] Reason for Rejection: The case was not reviewed in its entirety due to the below issues. Once this has been resolved, we will review the case. This will now be treated as an EDR.