
From: Monifa Crawford [REDACTED]
on behalf of PWMUS AMLKYC [REDACTED]
Sent: 11/28/2014 1:24:48 PM
To: Amanda Kirby [REDACTED]
CC: PWMUS AMLKYC [REDACTED]
Subject: CLEARED: PCR ALERT - [REDACTED]
Attachments: pic13976.jpg; pic26426.jpg; PCR Response from Rlch 11.28.2014.pdf; pic23125.gif; pic05166.gif; [REDACTED] Alert 1.pdf; [REDACTED] Alert 2.pdf; [REDACTED] Alert.pdf

Classification: For internal use only

Hi Amanda:

AML Compliance has reviewed the attached PCR alerts for [REDACTED]. The alerts are not a match as the first alert refers to an individual born in 1969 or 1970. Per the business, the client was born in [REDACTED]. The second alert pertains to an individual [REDACTED]. Per the attached, the prospective client has no relations with [REDACTED]. Based on this information, AML Compliance clears this alert from an AML perspective.

Kind Regards,
MC

(Embedded image moved to file: pic13976.jpg)

Monifa Crawford, JD
Associate | AML Compliance Officer

Deutsche Bank Securities Inc.
Asset Wealth Management - AML Compliance

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(Embedded image moved to file: pic26426.jpg)

----- Forwarded by Monifa Crawford/db/dbcom on 11/28/2014 01:19 PM -----

From: Amanda Kirby [REDACTED]
To: PWMUS AMLKYC [REDACTED]
Date: 11/28/2014 12:09 PM
Subject: Fw: PCR ALERT - [REDACTED] [I]

Classification: For internal use only

HI,

The first alert pertains to a woman born in either 1969 or 1970 and our client was born in [REDACTED]. The second alert pertains to a woman [REDACTED]. Per the attached email exchange our client has no relation (Richard Kahn is the manager of this account and sole authorized signer.) Please clear the alerts. Thank you