

(See attached file: PCR Response from RIch 11.28.2014.pdf)

Best,
Amanda Kirby

(Embedded image moved to file: pic23125.gif)

Amanda Kirby

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management

(Embedded image moved to file: pic05166.gif)

Securities offered through Deutsche Bank Securities Inc.
----- Forwarded by Amanda Kirby/db/dbcom on 11/28/2014 12:06 PM -----

From: PWM BIS-Research [REDACTED]
To: [REDACTED]
Cc: PWMUS AMLKYC [REDACTED]
Date: 11/19/2014 09:38 AM
Subject: PCR ALERT - [REDACTED] [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:
Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC.

(See attached file: [REDACTED]_PCR Alert 1.pdf)(See attached file: [REDACTED]
[REDACTED]_PCR Alert 2.pdf)(See attached file: [REDACTED]_PCR Alert.pdf)

Thanks
Ahsan