

Vice President | AML Compliance Officer

Deutsche Bank Trust Company Americas
PWM AML Compliance



Passion to Perform

From: PWMUS AMLKYC [REDACTED]
To: Jacqueline Lightbody [REDACTED]
Cc: PWMUS AMLKYC [REDACTED]
Date: 12/03/2013 12:18 PM
Subject: ESCALATION_ [REDACTED] [1]

Classification: For internal use only

Hi Jackie,

This is an escalation for negative media pertaining to [REDACTED] is a beneficiary of a trust wherein Jeffrey Epstein is the grantor. According to the business, [REDACTED] is not opening an account and has no authority on the trust account. The alert involves allegations against [REDACTED] of being a co-conspirator of several federal offenses. The same offenses resulted in Jeffrey Epstein being sent to jail, but [REDACTED]. The on-boarding of Jeffrey Epstein was previously cleared by Chip Packard (see attached) and subsequently cleared by AML Compliance based on that fact. Accordingly, AML Compliance is comfortable clearing this alert for the following reasons: 1) The account is already marked as high risk; 2) Chip Packard has reviewed and approved the on-boarding of the relationship with Jeffrey Epstein and obtained clearance from a reputational and AML risk perspective.



Jeffrey Epstein Clearance.pdf



Chip approval.pdf

From: PWMUS AMLKYC [REDACTED]
To: Jacqueline Lightbody [REDACTED]
Date: 10/09/2013 05:53 PM
Subject: DRAFT: CLEARED_ [REDACTED] [1]

Classification: For internal use only

Kind regards,
Andrew Kisz



Andrew Kisz
Associate | AML Compliance Officer

DB Services New Jersey, Inc.
Asset & Wealth Management