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From: PWM AOG/[REDACTED]
To: Pema Sherpa/[REDACTED],
Cc: Amanda Kirby/[REDACTED], PWM AOG/[REDACTED]
Date: 11/01/2013 10:47 AM
Subject: Re: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

Hi Pema,

FYI

Thanks,
Dhruv Yadav

PWM AOG
DBOI Global Services Private Limited
Email : [REDACTED]

From: PWM AOG/[REDACTED]
To: Amanda Kirby/[REDACTED],
Cc: PWM AOG/[REDACTED]
Date: 11/01/2013 10:42 AM
Subject: DB Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

Hi Amanda,

while reviewing the account opening docs, we came across the below issues:

1. On Account Opening Application, both DB Private Wealth Online Plus and Link to Existing Online Relationship have been selected.

Please select the correct one only.

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2. This entity is Limited Liability Corporation, however on W-9, Federal Tax Classification is selected as Other: Atty Trust Account.

Please correct the same to LLC along with the Tax Classification (S,C or P)

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3. KYC is in pending status.

Please let us know, once it is approved.