

would like I can ask someone in the office to send you the GCIS number for Southern Financial Relationship.

Best,
Amanda

----- Original Message -----

From: Janice Franklin
Sent: 02/27/2014 04:02 PM EST
To: Amanda Kirby
Cc: Jacqueline Lightbody
Subject: Re: Additional signer
Classification: Public

Amanda:

In conjunction with our review of KYC 1195100, I saw that the letter to add Mr. Indyke stated that he should be added "on all accounts associated with the [Southern Financial Relationship". How did you determine that the Southern Financial Relationship included Mr. Epstein's personal accounts and those for Southern Trust"? The relationship name for KYC 1195100 is listed as "Epstein, Jeffrey"

Regards,
Janice P. Franklin

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Janice Franklin, CAMS, CFSA, CFIRS
Vice President | AML Compliance Officer

Deutsche Bank Trust Company Americas
Compliance
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Tel. [REDACTED]
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Email [REDACTED]

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From: Amanda Kirby/[REDACTED]
To: Janice Franklin/[REDACTED],
Cc: Jacqueline Lightbody/[REDACTED]
Date: 02/26/2014 09:16 AM
Subject: Re: Additional signer

Classification: Public

Hi Janice,

Apologies. I found the correct KYC. It is #01141308.

Kind regards,
Amanda Kirby

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