
From: Amanda Kirby [REDACTED]
Sent: 8/26/2013 12:00:03 PM
To: Jay Lipman [REDACTED]
Subject: Re: Southern Accounts [I]
Attachments: Shareholder info.pdf; pic28187.gif; pic08807.gif

Classification: For internal use only

Hi Jay,

For point 3 for both accounts, the sow for the ultimate beneficial owner is the same (Jeffrey Epstein). Below is the SOW for JE from the approved KYC:

Epstein began his financial career in 1976 as an options trader at Bear Stearns and became a partner in 1980. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in net worth. In 1996, Epstein changed the name of his firm to The Financial Trust Company and based it on the island of St. Thomas in the US Virgin Islands. All of his clients were anonymous except for the very wealthy businessman Leslie Wexner. His wealth has come from his days at Bear Stearns and his financial management firms

Attached is the proof of ownership for the 10,000 shares. You'll see that the shares are issued to Epstein when the company was called Financial Infomatics which was later changed to Southern Trust. I sent you those documents beforehand but let me know if you need them again

(See attached file: shareholder info.pdf)

From: Jay Lipman/[REDACTED]
To: Amanda Kirby/[REDACTED]
Date: 08/26/2013 11:12 AM
Subject: Southern Accounts [I]

Classification: For internal use only

Hi Amanda,

As we expected, M/O came back with requests. None of them are a huge deal. Please can you provide documents for point 1, and a more detailed SOW for point 3. I will cover point 2. Thanks.

N4G-023804

Missing CIP information

Sole member of LLC is Southern Trust Company Inc. Southern Trust Company Inc is authorized to issue 10,000 shares. Provide proof of ownership. Add ultimate beneficial owners 25% or greater to Participant Summary on Net-Ex. Include legal address, date of birth, & ss #. Provide detailed source of wealth for ultimate beneficial owners 25% or greater via email to MO CIP.

N4G-023812

Missing CIP information