
From: Amanda Kirby [REDACTED]
Sent: 11/1/2013 5:49:08 PM
To: Jacqueline Lightbody [REDACTED]
Subject: NES LLC [I]

Classification: For internal use only

Hi Jacqueline,

Thank you so much for all of your help today. I really appreciate you and Janice pushing that KYC and helping to get the account opened.

I wanted to follow up on a voicemail I left yesterday regarding NES. What would be an acceptable piece of evidence for ownership? Please let me know so that I can instruct the client. They'd like to get this over to us asap.

Thank you and have a great weekend

Best,
Amanda Kirby



Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004

Passion to Perform

From: Jacqueline Lightbody [REDACTED]
To: Amanda Kirby [REDACTED]
Date: 10/31/2013 11:19 AM
Subject: Your KYC has been rejected

Your KYC has been rejected.

Case #: 01141322

Relationship: SOUTHERN FINANCIAL RELATIONSHIP

Customer Name: NES, LLC

Customer Number: [REDACTED]

Reason for Rejection: 1. Unable to locate evidence of ownership that Jeffrey is the ubo/sole member or NES, LLC. Please provide. (The letter is not acceptable)

2. Please re-do searches for NES, LLC with the US instead of US Virgin Islands.
(Resolved - J. Lightbody)