

ISDA preliminary requirements:

- 1) **New Account Forms (PWM Account) – n/a**
- 2) **Name of Counterparty -**
- 3) **Authorized trading persons (email, phone and fax) –**
Jeffrey Epstein: jeevacation@gmail.com, [REDACTED], [REDACTED]
- 4) **Primary contact with regards to documentation (email, phone and fax) –**
Harry Beller: [REDACTED], [REDACTED]
Jeffrey Epstein: jeevacation@gmail.com, [REDACTED], [REDACTED]
- 5) **2 years of financial statements (audited preferred) -**
- 6) **Ownership Structure and Purpose**
Southern Trust Company Inc is the sole member of Southern Financial and Jeffrey Epstein is the sole shareholder of Southern Trust
- 7) **Bank Statements (if client does not hold a lot of assets with DB) -**

Preliminary Background Information:

***Documents should be less than a year old, if possible

- 1) **Legal Name and Address of account**
Southern Financial LLC
6100 Redd Hook Quarter, B3
St. Thomas 00802
Virgin Islands (U.S)
- 2) **Account contact email, telephone number, fax number –**
Jeffrey Epstein: jeevacation@gmail.com, [REDACTED], [REDACTED]
- 3) **Trading Capacity (as Agent or Principal) -**
- 4) **Nature of Business –**
This business is funded by Jeffrey Epstein's wealth. This company was formed to hold Mr. Epstein's personal wealth and invest in different ventures. This company invests in real estate, equities, commodities, etc and gets its revenue from the gains it makes in these investments. It is a company formed to invest the assets of Mr. Epstein
- 5) **If trading as Agent/Investment Manager, we will need to know the Legal Entity Names of the Managed (sub) Accounts –**
- 6) **US Tax ID Number for US Domiciled Accounts -**
66-0799192
- 7) **Corporate Resolution Letter / Certificate of Incorporation / Certificate of Limited Partnership –**

Trading Relationship Authority - Corporate Resolution, etc. If needed, you may also complete the attached blanket Corporate Resolution. This document should be printed on your company letter head, fully executed and returned to us. –
- 8) **An Investment Management Agreement/Investment Advisory Agreement or Trading Authorization –**
- 9) **Name of a Senior/Controller Officer (CEO, COO, CFO, Principal) – Jeffrey Epstein**
- 10) **All legal representatives (List of Directors - Registrar of Directors/Annual Report/3rd Party Auditor/internal Legal Counsel) -**
- 11) **Senior Management - 5 Control Person(s) or all if fewer -**
- 12) **List of all Ultimate Beneficial Owners (25% or more):**
 - a. Full Legal Name - Jeffrey Epstein
 - b. Nationality - USA
 - c. Date of Birth – January 20, 1953
 - d. Exact amount of ownership interest - 100
 - e. Source of wealth -

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