

---

**From:** Andrew Kisz [REDACTED]  
**on behalf of** PWMUS AMLKYC [REDACTED]  
**Sent:** 10/9/2013 3:48:57 PM  
**To:** Amanda Kirby [REDACTED]  
**CC:** PWMUS AMLKYC [REDACTED]; Jacqueline Lightbody [REDACTED]  
**Subject:** Re: Fw: [REDACTED] rdc alert [I]  
**Attachments:** pic31960.gif; pic22729.gif; pic13526.gif; pic00702.gif; [REDACTED] pcr alert.pdf; [REDACTED] pcr alert\_1.pdf

Classification: For internal use only

Hi Amanda,

AML Compliance reviewed the attached PCR alerts dated 10/9/2013 relating to [REDACTED]. The alert pertains to the wife of a former Wisconsin Sheriff, [REDACTED]. According to the business, our client is in her early [REDACTED] and lives in NYC; whereas, the alert pertains to a [REDACTED] that is in her 60's and lives in Wisconsin. Accordingly, AML Compliance CLEARS this alert as a false positive.

Kind regards,  
Andrew Kisz

---

(Embedded image moved to file: pic31960.gif)

Andrew Kisz  
Associate | AML Compliance Officer

DB Services New Jersey, Inc.  
Asset & Wealth Management  
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA  
Tel. +1 (904) 596-0971  
Email [REDACTED]

(Embedded image moved to file: pic22729.gif)

**From:** Amanda Kirby [REDACTED]  
**To:** PWMUS AMLKYC/[REDACTED],  
**Cc:** Andrew Kisz [REDACTED], Jacqueline Lightbody [REDACTED], Paul Morri [REDACTED]  
**Date:** 10/08/2013 12:42 PM  
**Subject:** Fw: [REDACTED] rdc alert [I]

Classification: For internal use only

Hi,

This PCR alert does not pertain to our client. This [REDACTED] is in her 60's and lives in Wisconsin. Our [REDACTED] is in her early [REDACTED] and lives in NYC. Please clear alert

Best,  
Amanda Kirby

---

(Embedded image moved to file: pic13526.gif)