

From: Vaishali-P Mehta [REDACTED]
Sent: 6/17/2016 9:45:17 AM
To: Paul Morris [REDACTED]
Subject: FW: MDs Approvals required for ACUs completed for High Risk clients. [I]

Classification: **For internal use only**

Hello Paul

Could you please approve the below?

Regards,

Vaishali Mehta
 Head of Anti-Money Laundering Business Risk
 Deutsche Bank Wealth Management
 60 Wall Street, 27th Floor, 10005-2836 New York, NY, USA
 Tel. [REDACTED]
 Mobile [REDACTED]
 Email [REDACTED]

From: Vaishali-P Mehta
Sent: Tuesday, May 31, 2016 4:52 PM
To: Paul Morris
Subject: MDs Approvals required for ACUs completed for High Risk clients. [I]

Classification: **For internal use only**

Dear Paul,

Based on the email I sent last week, below are the ACUs which were completed for your High Risk customers this month.

All the below ACUs were completed showing no material changes to the client's circumstances.

Please review the ACU comments, and reply back with your approval.

Annual Client Update: ID	Customer Owner	SILO	GCIS Customer Number	Customer Name	Annual Client Update: Created Date	A14.	A15. Client Status Update Description	A16.	A17.
0075022	Paul Morris	US-Onshore	00000409100	Black, Leon D.	5/10/2016	client is CEO of Apollo Global management. Family office established in 2014 to manage family wealth.	Distributions from APO and other diversified investments.	Yes	No
0075020	Paul Morris	US-Onshore	00000486427	J. Epstein Virgin Islands Foundation, Inc	5/10/2016	Private foundation	investment income	Yes	No