
From: Janice Franklin [REDACTED]
Sent: 10/9/2013 9:09:17 AM
To: Amanda Kirby [REDACTED]
Subject: Your KYC has been rejected

Your KYC has been rejected.

Case #: [REDACTED]
Relationship: EPSTEIN, JEFFREY RELATIONSHIP
Customer Name: Epstein, Jeffrey
Customer Number: [REDACTED]
Reason for Rejection: 1. Hyperion Air LLC
i. Please complete section 3D
ii. H. Beller not listed as a party to the checking account
iii. J. Epstein not listed as a signer on the money market account

2. Plan D LLC
i. Please complete section 3D
ii. due diligence searches were not located
iii. J. Epstein not listed as a signer on the checking account
iv. J. Epstein not listed as a signer on the money market account

3. Hyperion Air Inc
i. Please complete section 3D
ii. Please rerun searches (country was incorrectly stated--should be USA)
iii. H. Beller not listed as a party to the money market account
iv. J. Epstein not listed as a signer on the checking account

4. JEGE Inc
i. Please complete section 3D
ii. Please correct date of incorporation
iii. J. Epstein not listed as a signer on the money market account
iv. J. Epstein not listed as a signer on the checking account

5. JEGE LLC
i. Please complete section 3D
ii. J. Epstein not listed as a signer on the money market account

6. Please include Mr. Epstein's source of wealth in KYC

7. For Mr. Beller, the following were not noted:
i. current employer
ii. address of employer
iii. due diligence searches

To view the KYC click here [REDACTED]