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Further Information

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22 April 2004 SEC filed a settled civil fraud action for illegal insider trading in three publicly traded Massachusetts banks. Final judgement permanently enjoins him from violating the antifraud provisions and he agreed to pay a total of \$72532.06 in disgorgement of trading profits, prejudgment interest and civil penalties.

External Sources

External Source

<http://www.sec.gov/litigation/complaints/comp18675.htm>

<http://www.sec.gov/litigation/litreleases/lr18675.htm>