
From: Velmir Vejzovic [velmir.vejzovic@db.com]
Sent: 4/24/2018 11:19:34 AM
To: Sanchit Mittal [REDACTED]
CC: Bradley Gillin [REDACTED]; Hildegard Berrios [REDACTED]; PWMUS AMLKYC [REDACTED]
Subject: CLEARED: portfolio Alert_ [REDACTED] 12091961 [I]
Attachments: grid_alert_DB12000P_13170805_11598095_20180421_035302.pdf

Classification: **For internal use only**

Hi Sanchit,

AML Compliance has reviewed the RDC alert generated on 4/21/18 for [REDACTED], authorized signor for the Zorro Management, LLC, client of the Private Bank.

Our Party: [REDACTED], DOB 12/9/1961, resides in Stanley, NM per LexisNexis. Karen is a manager at Zorro Management.

Alert Subject: [REDACTED] resides in Tompkinsville, KY per LexisNexis. Karen served as Member - Council of Monroe, Kentucky, United States.

<https://monroecounty.ky.gov/Elected-Officials/Pages/County-Magistrates.aspx>

AML Compliance clears this alert as a false positive as the age, location and occupation of Our Party does not match that of the Alert Subject.

All the best,
Velmir Vejzovic



Velmir Vejzovic
Associate | AML Compliance Officer

DB USA Core Corporation
Regulation, Compliance and Anti-Financial Crime
Anti-Financial Crime
5201 Gate Parkway, 2nd floor
Jacksonville, Florida 32256. USA
Tel: [REDACTED]
Email: [REDACTED]

From: Stewart Oldfield
Sent: Tuesday, April 24, 2018 10:13 AM
To: Sanchit Mittal [REDACTED]
Cc: PWMUS AMLKYC [REDACTED]; PWM BIS-Research [REDACTED]; Bradley Gillin [REDACTED]; Hildegard Berrios [REDACTED]
Subject: RE: portfolio Alert_ [REDACTED] 12091961 [I]

This Is not the same person. Thanks

From: Sanchit Mittal
Sent: Monday, April 23, 2018 6:04 PM
To: Stewart Oldfield [REDACTED]