

- Mort, Inc - DueDate 08/18/2018 – Awaiting docs as per attached 6th email attached.
- Zorro Management, LLC - DueDate 08/18/2018 – Awaiting docs as per attached 5th email attached.
- [REDACTED] – DueDate 07/27/2018 – Docs required as per attached 2nd email
- Certificate of Good Standing (within 6 months) requested for all above referenced entities.

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**From:** Vinita Advani

**Sent:** Thursday, August 23, 2018 11:51 AM

**To:** Terri Sohrab [REDACTED]

**Cc:** Thomas Klemm [REDACTED]; Daphne Cales [REDACTED]; Emily Craig [REDACTED]

**Subject:** Update : Case management on High Risk accounts [I]

Classification: **For internal use only**

Hi Terri,

Trust you are doing well.

We were in a meeting with Thomas today. I thank you for sharing your feedback on Vijay.

The team is currently focusing on all High Risk cases due 2018 and have been instructed to complete all high risk cases due for the rest of the year.

**Overview for your list of clients:**

- Total High Risk Cases due 2018: 91
- Work in progress: 52\*
- Cases yet to be started: 27

*\*52 cases – this is a mix of KYC's where we are awaiting information and rejected cases.*

Considering volumes and to be able to meet targeted dates, I have reallocated these cases amongst other case managers within the team.

Along with Vijay these cases are now reassigned between 5 more Case managers ( Alka, Mayur, Krupa, Priyanka and Lezal). They will be working closely with Vijay and will be reaching out for case reviews.

We thank you for your partnership and look forward to your support to complete these KYC's. Please feel free to reach out for any clarifications and information.

Have a great day!!!

Kind regards,



Vinita Advani

KYC Case Representative Manager

Deutsche CIB Centre Private Limited – Deutsche Bank Group  
Wealth Management

