

Updates in red below.

**Khullar Relationship**

- AMAAN HOLDINGS LLC – Due Date 06/29/2018 – Pending SoS for SAMRA Holdings Ltd (refer attached 1st email)
- HATTAN HOLDINGS LLC – DueDate 06/29/2018 – Pending SoS for SAMRA Holdings Ltd (refer attached 1st email)
- MARSА HOLDINGS LLC – DueDate 06/29/2018 – Pending SoS for SAMRA Holdings Ltd (refer attached 1st email)
- Response from client, Deepak Khullar, on 8/9/18 – ‘SoS for Samra- letter of good standing will have to be sourced from the registrars - CITCO and could take a week to ten days’

**Jefferies Relationship:**

- JCP IV QP, LLC – DueDate 06/30/2018 –
- All outstanding KYC Docs received yesterday, pending review.

**Cerberus Relationship:**

- Cerberus Executive, LLC – DueDate 07/31/2018 – Awaiting docs as per attached 3rd email. I am aware that you have followed up with client on this, so thanks for that.
- Working with Cerberus’ Council. FinCEN Form, Certificate of Good Standings (within 6 months), and constitutional docs from 6 entities were requested.

**Sillerman Relationship:**

- Aircraft Acquisition Corporation – DueDate 08/03/2018 – Awaiting docs as per 4th email attached.
- Sillerman Investment Company III, LLC – DueDate 08/03/2018 – Awaiting docs as per 4th email attached.
- Tomorrow Foundation – DueDate 08/03/2018 – Pending CDD form as per attached 5th email attached.
- Elderberry X, LLC – DueDate 08/03/2018 – Pending CDD form as per attached 5th email attached.
- Sillerman Investment Corporation – DueDate 08/03/2018 – Awaiting docs as per 4th email attached.
- Requested FinCEN forms from Sillerman – should receive tomorrow/early next week.

**Southern Financial/Epstein Relationship:**

- Epstein, Jeffrey E. – DueDate 08/08/2018 – Awaiting docs as per attached 5th email attached.
- Southern Financial LLC - DueDate 08/08/2018 – Awaiting docs as per attached 8th email attached.
- Southern Trust Company, Inc. - DueDate 08/08/2018 – Awaiting docs as per attached 5th email attached.
- J. Epstein Virgin Islands Foundation, Inc - DueDate 08/08/2018 – Docs & confirmation required as per attached 10th email
- GoldenTree Asset Management UK LLP- DueDate 08/09/2018 – Docs & confirmation required as per attached 11th email
- Gratitude America Ltd – DueDate 08/16/2018– Awaiting docs as per attached 6th email attached.
- Neptune, LLC – DueDate 08/16/2018 – Docs required as per attached 2nd email
- NES, LLC – DueDate 08/16/2018 – Docs & confirmation required as per attached 9th email.
- LSJE, LLC – DueDate 08/18/2018 – Awaiting docs as per attached 8th email attached.
- Hyperion Air, LLC – DueDate 08/18/2018 – Docs & confirmation required as per attached 10th email
- JEJE, Inc – DueDate 08/18/2018 – Awaiting confirmation & docs as per attached 7th email
- JEJE, LLC – DueDate 08/18/2018 – Awaiting confirmation & docs as per attached 7th email
- Plan D, LLC – DueDate 08/18/2018 – Docs & confirmation required as per attached 9th email.
- Jeepers, Inc - DueDate 08/18/2018 – Awaiting confirmation & docs as per attached 7th email
- Mort, Inc - DueDate 08/18/2018 – Awaiting docs as per attached 6th email attached.
- Zorro Management, LLC - DueDate 08/18/2018 – Awaiting docs as per attached 5th email attached.
- [REDACTED] – DueDate 07/27/2018 – Docs required as per attached 2nd email
- Certificate of Good Standing (within 6 months) requested for all above referenced entities.

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**From:** Vinita Advani

**Sent:** Thursday, August 23, 2018 11:51 AM

**To:** Terri Sohrab [REDACTED]

**Cc:** Thomas Klemm [REDACTED]; Daphne Cales [REDACTED]; Emily Craig [REDACTED]

**Subject:** Update : Case management on High Risk accounts [!]

Classification: **For internal use only**

Hi Terri,

Trust you are doing well.