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**From:** Vijay-A Sawant [REDACTED]  
**Sent:** 7/31/2018 9:01:09 AM  
**To:** Bradley Gillir [REDACTED]; Stewart Oldfield [REDACTED]  
**CC:** Mayur Rathod [REDACTED]  
**Subject:** 2018 Periodic Review of High Risked Accounts [REDACTED] Butterfly Trust (GCIS#486426) and Neptune, LLC (GCIS#487264) under the SOUTHERN FINANCIAL RELATIONSHIP  
**Attachments:** Butterfly Trust Checklist 2018.xlsx; Butterfly Volcker.pdf; Neptune, LLC Checklist 2018.xlsx; Fincen CDD Form\_Certification\_Regarding\_Beneficial\_Owners\_of\_Legal\_Entity\_Customers\_FINAL.pdf  
**Importance:** High

Hello Brad/Stewart,

This review relates to above high risked three accounts due for remediation 08/31/2018.

Upon review of the docs available in the repositories, we have completed the attached checklist, main RP's also listed below for reference –

Acct Holder – **Butterfly Trust**

Grantor – **Jeffrey Epstein**

Trustees – **Harry Beller & Erika Kellerhals**

UBO's – [REDACTED]

[REDACTED] **Darren Indyke, Karyna Shuliak, Richard Kahn,** [REDACTED]

Following are list of required items –

- Confirm whether material changes to account or not & RP's identified above are correct?
- Volcker Questionnaire completed reflects this trust as a "Family Trust" but this seems inaccurate. All UBO's have different surnames and some even different nationalities. Please advise if ok to accept? I have attached the questionnaire in this email for reference.
- Depending on the response to above bullet point, we might require CDD form if this trust is deemed to be Corporate trust.

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Acct Holder – **Neptune LLC**

Sole Member & Shareholder – **Jeffrey Epstein**

Manager – **Richard Kahn**

Authorized Signers – **Harry Beller & Darren Indyke**

Following are list of required items –

- Confirm whether material changes to account or not & RP's identified above are correct?
- Fincen CDD form required, attached blank form for reference

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Acct Holder & Authorized Signatory – [REDACTED]

Joint Acct Holder & Authorized Signatory – [REDACTED]

SOW Company (for [REDACTED])

Following are the list of required items –

- Confirm whether material changes to account or not?