

Classification: **For internal use only**

Hi Vijay,

Yes, all guarantors need to be added to the KYC as related parties. They should each have their own GCIS number generated if they do not each have one yet.

All information and documentation that is required for account holders will be required for guarantors (including the GBSA form). The only exceptions are that we do **not** require the FinCen/CDD form for guarantors, and you also do **not** need to provide a document evidencing signing authority since a guarantor does not have signing authority on the loan. Please ensure you attach evidence of legal existence, ownership, and entity powers/structure; these would typically be satisfied by each guarantor's company document (operating agreement or articles of incorporation, etc.) PLUS the secretary of state printout.

I am happy to clarify if any of the above didn't make sense. Please don't hesitate to let me know if you need anything else.

Kind regards,

Vanessa Thompson, Esq.

Assistant Vice President

AML Business Risk

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From: Vijay-A Sawant

Sent: Monday, July 16, 2018 4:30 AM

To: Vanessa Thompson [REDACTED]; Morgan Trichel

[REDACTED]; Vinita Advani [REDACTED]

Cc: Bradley Gillin [REDACTED]

Subject: RE: 2018 Periodic Review of acct Cerberus Executive, LLC with GCIS#547540 under the CEREBERUS CAPITAL RELATIONSHIP / query [I]

Importance: High

Hi Vanessa,

Thanks for the GBSA & Fincen confirmation. Could you advise on any further updates on Guarantors?

Also advise whether all the 62 guarantors needs to be added to the KYC case as RP's?

Thanks in advance.

Kind Regards,

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Vijay Sawant