

<image002.jpg>

Morgan Trichel  
AML Business Risk Analyst  
Deutsche Bank Trust Company, National Association  
Deutsche Bank Wealth Management  
5022 Gate Parkway #400 Jacksonville, FL 32256 USA  
Tel. [REDACTED]  
Ema [REDACTED]

---

**From:** Vinita Advani  
**Sent:** Tuesday, July 10, 2018 11:38 AM  
**To:** Morgan Trichel [REDACTED]  
**Cc:** Vijay-A Sawant [REDACTED]  
**Subject:** FW: 2018 Periodic Review of acct Cerberus Executive, LLC with GCIS#547540 under the CEREBERUS CAPITAL RELATIONSHIP / query [I]

Classification: **For internal use only**

Hi Morgan,

Can you please help me with this query.

Vijay is doing a PR for Cerberus Executive, LLC with GCIS#547540. There are 62 Guarantors (all entities).

Under the new guidance, does he need to add all of them as RPs also would he need a Fincen / GBSA for all?

Please advise.

Thanks.

Kind regards,  
Vinita Advani  
KYC Case Representative Manager  
Deutsche CIB Centre Private Limited - Wealth Management  
Tel. [REDACTED]

---

**From:** Vijay-A Sawant  
**Sent:** Tuesday, July 10, 2018 9:01 PM  
**To:** Vinita Advani [REDACTED]  
**Subject:** FW: 2018 Periodic Review of acct Cerberus Executive, LLC with GCIS#547540 under the CEREBERUS CAPITAL RELATIONSHIP [I]

Hi Vinita,

For the below account [REDACTED] I have launched new KYC case#01963845 & RP's are listed in below email.

From the previously approved case #01713689, I noticed that there are 62 Loan Guarantors added as RP's.

Please note that in this LLC entity (relevant docs attached for reference), there are many non-managing members who all have equal ownership in the entity thus it is less than 10% (for this high risk entity).