
From: Vijay-A Sawant [REDACTED]
Sent: 8/1/2018 8:07:05 AM
To: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]
CC: Mayur Rathod [REDACTED]
Subject: 2018 Periodic Review of accts Mort, Inc (GCIS#487266) and Gratitude America Ltd (GCIS#499760) under the SOUTHERN FINANCIAL RELATIONSHIP
Attachments: image001.gif; Mort, Inc Checklist 2018.xlsx; Gratitude America Ltd Checklist 2018.xlsx; Entity Self Certification Form.pdf; Form 990 2016.pdf; Non Profit Resolution.pdf; KYC Print 01790739.pdf
Importance: High

Hello Brad/Stewart,

This review relates to review of above high-risked accounts due for remediation 8/31/2018.

Upon review of the docs available from repositories, we have completed attached checklists, identifying RP's below. List of pending items listed below -

Acct Holder - Mort, Inc

Director, Sole Shareholder & Authorized Signatory - Jeffrey Epstein

Director, Vice President & Authorized Signatory - Darren Indyke

Director & Treasurer - Richard Kahn

* Please confirm material changes to this account and whether RP's identified above are correct or not?

* We were unable to locate COI for this entity. Additionally we were unable to extract SoS from the US Virgin Islands registry website. Alternatively, kindly provide COGS for this entity, dated within the last 6 months.

Acct Holder - Gratitude America Ltd

President & Authorized Signatory - Jeffrey Epstein

Director & Authorized Signatory - Richard Kahn

Director, Treasurer & Authorized Signatory - Darren Indyke

* Please confirm material changes to this account and whether RP's identified above are correct or not? Please note that in some docs, Richard Kahn reflects in Self Certification form (signed in 2016) and Form 990 (also from 2016), both attached for reference. However Richard does not appear in the DB Non Profit Resolution signed in 2015. I have attached this doc as well for reference. Additionally from the last approved KYC 01790739 (from Aug 2017), Richard does not appear as an RP for this entity. Hence checking, kindly advise accordingly.

* We were unable to locate COI for this entity. Additionally we were unable to extract SoS from the US Virgin Islands registry website. Alternatively, kindly provide COGS for this entity, dated within the last 6 months.