

# Entity Self-Certification Form

## Appendix 1: Controlling Persons

Appendix 1 must be completed for each Controlling Person of the entity, but only if the entity has a tax residence outside the United States. Please refer to the attached Guidance to the Entity Self-Certification Form which provides assistance for you to determine the Controlling Persons and how to complete this Appendix.

Please provide details of each Controlling Person below. Please continue on a separate sheet if necessary (and attach to this form).

Controlling Person	First Name <b>Rich</b> Date of Birth <b>12/06/1972</b> Country/Countries of Tax Residence <p style="text-align: center;"><b>US</b></p> Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Last Name <b>Kahn</b> Controlling Person Type/Role <b>President</b>  Yes (complete Appendix 2) <input checked="" type="checkbox"/> No
Controlling Person	First Name <b>Jeffrey</b> Date of Birth <b>01/20/1953</b> Country/Countries of Tax Residence <p style="text-align: center;"><b>US Virgin Islands</b></p> Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Last Name <b>Epstein</b> Controlling Person Type/Role <b>Director</b>  Yes (complete Appendix 2) <input checked="" type="checkbox"/> No
Controlling Person	First Name <b>Erika</b> Date of Birth <b>10/13/1974</b> Country/Countries of Tax Residence <p style="text-align: center;"><b>US Virgin Islands</b></p> Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Last Name <b>Kellerhals</b> Controlling Person Type/Role <b>Secretary, Director</b>  Yes (complete Appendix 2) <input checked="" type="checkbox"/> No
Controlling Person	First Name <b>Darren</b> Date of Birth <b>02/19/1965</b> Country/Countries of Tax Residence <p style="text-align: center;"><b>US</b></p> Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Last Name <b>Indyke</b> Controlling Person Type/Role <b>Treasurer, Director</b>  Yes (complete Appendix 2) <input checked="" type="checkbox"/> No
Controlling Person	First Name Date of Birth Country/Countries of Tax Residence  Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Last Name Controlling Person Type/Role  Yes (complete Appendix 2) <input type="checkbox"/> No

Each Controlling Person who is also a non-US Beneficiary/non-US Beneficial Owner must complete and sign Appendix 2: Beneficiary/Beneficial Owner Self-Certification Form. A Controlling Person who is a Beneficial Owner with a tax residence solely in the United States is not required to complete and sign Appendix 2.