

|   |  |                              |  |
|---|--|------------------------------|--|
| Address (city, street, post code):  | 6100 Red Hook Quarter, B3 St. Thomas 00802   | U.S. TIN/EIN:                | 66-0789697                             |
| Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:<br>501(c)3 foundation. Jeffrey Epstein is president, Darren Indyke is treasurer and Erika Kellerhals is Secretary. The signors on this account are Jeffrey Epstein and Darren Indyke |  |                              |  |
| Please indicate how ownership of the legal entity is reflected: 501(c)3 foundation. Jeffrey Epstein is president, Darren Indyke is treasurer and Erika Kellerhals is Secretary  |  |                              |  |
| <input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:  |  |                              |  |
| Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): 501(c)3 foundation. Jeffrey Epstein is president, Darren Indyke is treasurer and Erika Kellerhals is Secretary. The signors on this account are Jeffrey Epstein and Darren Indyke  |  |                              |  |
| To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:  |  | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| <b>Describe Nature of Entity's Primary Business and Investment Activities</b>   |  |                              |  |
| Nature of the business:   | The foundation supports a broad range of efforts including, but not limited to, education, science, research, etc. |                              |  |
| Countries where business is transacted:   | USA, USVI  |                              |  |
| Number of employees:  | 3  |                              |  |

|  |            |          |     |                        |            |
|--|------------|----------|-----|------------------------|------------|
| <b>3D. Wealth Profile</b> (Only for parties requiring source of wealth description as indicated in Section 2)  |            |          |     |                        |            |
| Primary Country of source of wealth/source of Funds?   |            |          |     |                        |            |
| Primary industry of source of Wealth/Source of Funds?  |            |          |     |                        |            |
| Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):<br>The foundation is funded by Jeffrey Epstein. Please revert to his source of wealth for more details. |            |          |     |                        |            |
| Estimated gross receipts p.a. (\$):  | 500,000.00 |          |     |                        |            |
| Estimated net profit p.a. (\$):  | 500,000.00 |          |     |                        |            |
| Estimated investable assets (\$):  | < \$1 MM   |          |     |                        |            |
| Potential Amount to be invested with PWM (\$):   | 100,000.00 |          |     |                        |            |
| Other Known Financial Institutions:  |            |          |     |                        |            |
| Institution:   | DB         | Country: | USA | Est. Assets Under Mgt: | 100,000.00 |
| Institution:   |            | Country: |     | Est. Assets Under Mgt: |            |
| Institution:   |            | Country: |     | Est. Assets Under Mgt: |            |

**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

|  |  |                    |   |
|--|--|--------------------|---|
| <b>3C. Legal Entity Details</b> (For all Legal Entities) |  |                    |   |
| Legal Entity Name:                                       | Neptune, LLC - 00000487264   |                    |   |
| Type of Entity:  | <input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company<br><input type="checkbox"/> Estate <input type="checkbox"/> Partnership | Purpose of Entity: | <input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/ Charitable <input type="checkbox"/> Commercial |
|  |  |                    |   |