

DB PWM GLOBAL KYC/NCA: **PART A** KYC Case # : **01790820**Status : **6. Approved****One sheet must be established per relationship - list all accounts included in the relationship**

| 1. Relationship Details  |   |   |   |
|--|---|---|---|
| Relationship Name:   | SOUTHERN FINANCIAL<br>RELATIONSHIP: [REDACTED]  | Booking Center:                             | New York  |
| Relationship Manager:  | Stewart Oldfield  |   |   |
| Relationship to PWM:   | <input type="checkbox"/> New PWM Relationship   |   | <input checked="" type="checkbox"/> Existing PWM Relationship                                 |
|  | If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: <b>***This KYC is a periodic review for high risk remediation***</b><br><br>Original KYC1748087<br>opening new checking account with account title as Zorro Management LLC(House Acct) and adding 2 new signors: [REDACTED] |   |   |
| How Was the Client(s) Introduced? How long has the RM personally known the client?   | <input checked="" type="checkbox"/> Client Referral   | <input type="checkbox"/> RM Prospect        | <input type="checkbox"/> Intermediary/FIM   |
|  | <input type="checkbox"/> Other Source (CIB, etc.)<br><br>Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to Stew Oldfield on 3/7/17 to have a new acct opened under Zorro Management LLC and add two new signors to this new acct only.                     |   |   |
| Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?                    | <input type="checkbox"/> Yes  |   | <input checked="" type="checkbox"/> No  |
|  | (If Yes, describe):   |   |   |
| List all existing and new accounts involved in this relationship   |   |   |   |
|  | Legal Entity Account(s)   | Account Name / Number                       | Opening Date (intended/actual)  |
| 1  | <input checked="" type="checkbox"/>   | Zorro Management, LLC - Deposit-42966807    | 12/20/2016  |
| 2  | <input checked="" type="checkbox"/>   | Zorro Management, LLC (House Acct)-42967439 | 3/13/2017   |
| 3  | <input checked="" type="checkbox"/>   | LSJE, LLC - Deposit-42959295                | 2/25/2015   |
| 4  | <input checked="" type="checkbox"/>   | Mort, Inc - Deposit-42959017                | 2/6/2015  |
| 5  | <input checked="" type="checkbox"/>   | Jeepers Inc- Brokerage-N4G024935            | 9/23/2013   |
| 6  | <input checked="" type="checkbox"/>   | Hyperion Air, LLC - Deposit-42953432        | 10/18/2013  |
| 7  | <input checked="" type="checkbox"/>   | Plan D LLC - Deposits-42953467              | 10/18/2013  |
| 8  | <input checked="" type="checkbox"/>   | JEJE LLC - Deposits-42953475                | 10/18/2013  |
| 9  | <input checked="" type="checkbox"/>   | JEJE Inc - Deposits-42953459                | 10/18/2013  |
| Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.): | Darren Indyke   |   | Preferred method of contact(indicate phone no., fax no., e-mail address, etc.):<br>[REDACTED] |

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CONFIDENTIALDB-SDNY-0051807  
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