

o Jeffery Epstein began his financial career in 1976 as an options trader at Bear Stearns and became a partner in 1980. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in net worth. In 1996, Epstein changed the name of his firm to The Financial Trust Company and based it on the island of St. Thomas in the US Virgin Islands, which merged into Southern Financial LLC. All of his clients were anonymous except for the very wealthy businessman Leslie Wexner. His wealth has come from his days at Bear Stearns and his financial management firms.

- **List of Legal representatives**

o Per attachment "Southern Financial Operating Agreement" (pages 16)

▪ Jeffrey Epstein, Darren K. Indyke, Richard Kahn

- **Annual report**

o See attachments "Southern Financial LLC Financials June 2017" and "Southern Trust Company Inc. Financials June 2017" for latest financial statements

Thank you

Nina Tona

From: Amber Davis

Sent: Wednesday, January 10, 2018 8:15 PM

To: Nina Tona <[REDACTED]>

Cc: Karen Johnson <[REDACTED]>; Nick Watkins <[REDACTED]>; Alastair Mackinlay <[REDACTED]>

Subject: RE: SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

Classification: **For internal use only**

Good evening ,

I apologize to request additional documents, but While entering the information into the system for this fund, it triggered an alert that this account is past review date, so we must complete a full KYC. Could you please provide the following documents:

- Organization Chart
- UBOs (Owners) that own greater than 10%
- The source of funds for the UBOs (owners) that are greater than 10%
- List of Legal representatives
- Annual report

Thank you kindly,



Amber Davis

**Client On-Boarding | Client Data Services | COO DBUSA Core Corp.
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