
From: Davide-A Sferrazza [REDACTED]
Sent: 9/21/2018 1:34:30 PM
To: Michael Raphael [REDACTED]
CC: Amit Garg [REDACTED]; Liam Osullivan [REDACTED]
Subject: FW: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I] [C]

Importance: High

Classification: **Confidential**

Mike,

Do you happen to have any idea what this account code is? **STRUPDT0118001**

KYC team said the following:

One of the account for Southern Financial LLC (GCIS 483882) is Structured Transactions account with account ID on DB Force customer page as **STRUPDT0118001**. We believe it's a dummy account number, as we couldn't fetch any details on DB Insight.

Not sure if you've ever come across this before, and I am not aware of any SP trades with this client since I've been here, but figured it was worth checking with you.

Thanks,
Davide

From: Liam Osullivan
Sent: Friday, September 21, 2018 1:28 PM
To: Davide-A Sferrazza [REDACTED]
Subject: FW: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I] [C]
Importance: High

Classification: **Confidential**

I do not recognize the account code. Do you know what it is? Thanks

From: Stewart Oldfield
Sent: Friday, September 21, 2018 1:20 PM
To: Liam Osullivan [REDACTED]
Cc: Bradley Gillin [REDACTED]; Richard Iarossi [REDACTED]
Subject: FW: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Do you recognize account code **STRUPDT0118001**?

Thanks

From: Vijay-A Sawant
Sent: Friday, September 21, 2018 10:18 AM
To: Richard Iarossi [REDACTED]
Cc: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]; Mayur Rathod [REDACTED]