

<[REDACTED]>

Subject: RE: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Importance: High

Hi Rich,

Following are the pending items in above accounts -

- One of the account for Southern Financial LLC (GCIS 483882) is Structured Transactions account with account ID on DB Force customer page as [REDACTED]. We believe it's a dummy account number, as we couldn't fetch any details on DB Insight. We'll need **Purpose of this account, expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

- "Purpose of the account" required for all the below accounts.

GCIS 483883

| | | | | | | | | |
|-----|---|------------|------------------------------|-------------------------------|----------------|-----------|---|-----------|
| Edr | 77000865 - SOUTHERN TRUST COMPANY INC. | [REDACTED] | Southern Trust Company, Inc. | DB AG NY Preferred Deposit | \$103,874.87 | 3/21/2017 | ✓ | 9/20/2018 |
| Edr | 140023812 - SOUTHERN TRUST COMPANY INC. | [REDACTED] | Southern Trust Company, Inc. | Brokerage Advisory | \$2,541,174.00 | 8/25/2013 | ✓ | 9/25/2018 |
| Edr | 64120244 - SOUTHERN TRUST COMPANY INC. | [REDACTED] | Southern Trust Company, Inc. | Money Market Business Account | \$2,496,173.24 | 8/27/2013 | ✓ | 9/25/2018 |

GCIS 483289

| | | | | | | | | |
|-----|-----------------------------|------------|--------------------|--------------------|--------------|-----------|---|-----------|
| Edr | 140026998 - JEFFREY EPSTEIN | [REDACTED] | Jeffrey E. Epstein | Brokerage Advisory | \$659,052.00 | 9/3/2013 | ✓ | 9/25/2018 |
| Edr | 680519 - JEFFREY EPSTEIN | [REDACTED] | Jeffrey E. Epstein | Domestic | \$118,735.96 | 4/16/2014 | ✓ | 9/25/2018 |

GCIS 486427 – DB Insight is not showing the below account. We'll need **expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

| Action | DB Account Name | Contact Name | Product Name | Market Value | Date Opened | Active | Balance As Of |
|--------|-------------------------------------|--------------|--|--------------|-------------|--------|---------------|
| Edr | 140026998 - J EPSTEIN MORGAN MORGAN | [REDACTED] | J. Epstein/Morgan Morgan Foundation, Inc | \$298,997.00 | 9/30/2013 | ✓ | 9/25/2018 |

Regards,



Vijay Sawant

KYC Case Representative
 Deutsche CIB Centre Private Limited - Deutsche Bank Group
 Wealth Management
 B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
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From: Vijay-A Sawant

Sent: Friday, August 24, 2018 5:28 PM

To: Richard Iarossi <[REDACTED]>

Cc: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]

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Hello Rich,