

Classification: For internal use only

Good Morning Amber,

Please advise on the below.

Thank you

Nina Tona

From: Nina Tona

Sent: Friday, December 15, 2017 5:34 PM

To: Amber Davis [REDACTED]

Cc: Kareen Johnson [REDACTED]; Alastair Mackinlay [REDACTED]

Subject: RE: SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

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Amber and Kareen,

I have a few questions.

The client has a Brokerage Acct in WM and wants to use those instructions for SSI, are we able to do that? Also, kindly clarify what you mean by Tax Document. Do you have an example or explanation?

DBSI Account: Southern Financial LLC

DBSI Account #: [REDACTED]

Pershing LLC

DTC: 443

Euroclear: 98730

Incoming USD instructions:

Bank: Bank of New York

ABA: [REDACTED]

Beneficiary: Pershing LLC

Beneficiary #: 890-051238-5

FFC (ref):

DBSI Account: Southern Financial LLC

DBSI Account #: [REDACTED]

Thank you

Nina Tona

From: Amber Davis

Sent: Friday, December 15, 2017 2:20 PM

To: Nina Tona [REDACTED]