
From: Xavier Avila
Sent: Monday, June 04, 2018 2:19 PM
To: Funda Bozkurt <[REDACTED]>; Ian Salters <[REDACTED]>
Cc: Zbynek Kozelsky <[REDACTED]>; Nina Tona <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>
Subject: RE: NCA's Completed in May/Update [I]

On another note, we have a call with the AB broker of Dakota Pacific (DP) today at 430pm, and is not clear what we can tell him at this stage.
The way we have onboarded DP is the straight WM OTC Derivs way, we have a KYC in WM and we have gone through Ellenoi for all the necessary paperwork until it got stuck, we still do not know where. GM NCA team has asked us to fill a BSF for the GM adoption, which is something we do for PIC accounts but we were never asked for WM OTC Adoptions. Ellenoi seems to be investigating, but let's get to some kind of path of how we think we can solve this.

Davide cced as he is the person driving the DP onboarding.

Thanks
Xavi

From: Xavier Avila
Sent: Monday, June 04, 2018 1:04 PM
To: Funda Bozkurt <[REDACTED]>
Cc: Ian Salters <[REDACTED]>; Zbynek Kozelsky <[REDACTED]>; Nina Tona <[REDACTED]>
Subject: RE: NCA's Completed in May/Update [I]

MY ANSWERS IN GREEN BELOW...AVAILABLE TO TALK WHENEVER YOU CAN.

From: Funda Bozkurt
Sent: Monday, June 04, 2018 12:11 PM
To: Xavier Avila <[REDACTED]>
Cc: Ian Salters <[REDACTED]>; Zbynek Kozelsky <[REDACTED]>; Nina Tona <[REDACTED]>
Subject: RE: NCA's Completed in May/Update [I]

Xavi,
See my comments in CAPS. Let's discuss later in the week.
Thanks,

Funda Bozkurt

Deutsche Bank Trust Company Americas.
WM-Divisional Control Office
345 Park Avenue, 10154-0004 New York, NY, USA
[REDACTED]

From: Xavier Avila
Sent: Friday, June 01, 2018 6:27 PM
To: Funda Bozkurt <[REDACTED]>
Cc: Ian Salters <[REDACTED]>; Zbynek Kozelsky <[REDACTED]>; Nina Tona <[REDACTED]>
Subject: RE: NCA's Completed in May/Update [I]