

Financials

View Counterparty

Search Matched

Legal Name

Legal Name

Legal Name

Legal Name

Legal Name

Legal Name

Admin System: Paragon

Trading Status: STOP

Counterparty Status: Active

Legal CP Name: PWM_UNITED STATES OF AMERICA_80329329032932

Legal Name: SOUTHERN FINANCIAL, LLC

View Counterparty Identifier & Classification

Classification

NACE Code: 6523101 Capital investment companies

SIC Code: 6700 Holding and Other Investment Offices

NAICS Code:

Batch Code: 754 JWM-PW Corporate

Dependent Industry Code:

Secondary SIC

Secondary NAICS

General Codes

BIC Codes:

FRN:

Employers Id No: 0

Deutsche Bank No:

CCDB No: 0

Account No:

HO Customer Type:

Vendor Codes

Bankscope Id:

Institution key:

KMV Code:

Global Vantage Code:

IBCA Nicknames:

Corporate Debt Portfolio Debt

BBK Regulatory Attributes

These fields have been moved to Credit Risk Desktop
Click below button to view Credit Risk Desktop

CRD

CP Class

CP Class: 15 Counterparty

Industry Hierarchy Flag:

Industry Hierarchy Flag: G

esCar Party Id:

Resp Branch:

Fund Risk Flag:

Rating Methodology Group:

2A7 Regulated (Only Relevant for US Money Market Funds):

K2013 K2014 K2015 Considered Non-Credit Related Standalone Fungible

Rule431

Telephone & Web Details

Dates

Opening Date: 01-Nov-2013

Credit Application Review Date: 31-Dec-2009

From: Sendhil-kumar K On Behalf Of CUSTOMERBUILD BLO

Sent: Friday, March 02, 2018 2:10 PM

To: Abhijit Mukherjee

Cc: Keith Buckholz; CRM-PWM Derivatives; Paolo De-Leon

; Collateral Admin-NY; CUSTOMERBUILD BLO

Subject: RE: Southern Financial LLC [I]

Classification: **For internal use only**

Hi Abhijit,

Please confirm the customer profile and SIC code for this request.

Kind Regards,

Sendhil-kumar K

Operations Senior Analyst

DBOI Global Services Private Limited

Ph:+

Ema

From: Abhijit Mukherjee

Sent: Thursday, March 01, 2018 5:48 PM